

10/18/01  
31000 U.S. PTO

<b>UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)</b> <i>Only for new nonprovisional applications under 37 C.F.R. 1.53(b)</i>	Docket No.: <b>2976-C</b>
	Express Mail Label No. <b>EL867783955US</b>

Box  
Seq

10/04/01  
10/18/01  
31000 U.S. PTO

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**  
**BOX SEQUENCE**  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**FIL-1 THETA DNAs AND POLYPEPTIDES**

and invented by:

**John E. Sims, residing at, Seattle, Washington.**

**If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation      ☐ Divisional      ☒ Continuation-in-part (CIP)

of prior application No.: 09/769,878

Enclosed are:

**Application Elements**

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification including claims and abstract ( 60 pages total)
3. ☐ Drawing(s); Number of Sheets
4. ☐ Oath or Declaration
  - a. ☐ Newly executed
  - b. ☐ Copy from a prior application (37.C.F.R. 1.63(d)) (*for continuation/divisional application only*)
  - c. ☐ With Power of Attorney      ☐ Without Power of Attorney
  - d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☒ Paper copy
    - ☐ Pages \_\_\_\_\_ - \_\_\_\_\_ of specification
    - ☒ Separately numbered pages 1 - 8
  - b. ☒ Computer Readable Copy
  - c. ☒ Statement Verifying Identical Paper and Computer Readable Copy
  - d. ☐ Statement under 37 C.F.R. 1.821(e) in lieu of Computer Readable Copy

## Accompanying Application Parts

8. ☐ Assignment
- a. ☐ Executed original Assignment and Recordation Form enclosed
- b. ☐ Prior application is assigned of record to Immunex Corporation  
(reel \_\_\_\_\_ frame \_\_\_\_\_)
9. ☐ 37 C.F.R. 3.73(B) Statement (*when there is an assignee*)
10. ☐ Preliminary Amendment
11. ☒ Acknowledgment postcard
12. ☒ Certificate of Mailing by Express Mail (Label No.: EL867783955US)
13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
14. ☐ Additional Enclosures (*please identify below*):

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## Fee Calculation and Transmittal

CLAIMS AS FILED (after any Preliminary Amendment submitted herewith)					
For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims		- 20 =	0	x \$18.00	\$0.00
Indep. Claims		- 3 =	0	x \$80.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE ( <i>specify purpose</i> )					\$0.00
TOTAL FILING FEE					\$0.00

- ☐ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0089 as described below. A copy of this sheet is enclosed.
- ☐ Charge the amount of \$-0- as a filing fee.
- ☐ Credit any overpayment.
- ☐ Charge any additional fees required under 37 C.F.R. 1.16 and 1.17.

Correspondence address:



Patricia Anne Perkins  
Registration No. 34,693

Dated: October 18, 2001



22932

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:  
John E. Sims

Docket No.: 2976-C

Serial No.:-- to be assigned --

Group Art Unit: Unknown

Filing Date: October 18, 2001

Examiner: Unknown

For: **FIL-1 THETA DNAs AND POLYPEPTIDES**

**STATEMENT UNDER 37 CFR 1.821(f)**

BOX SEQUENCE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Submitted herewith is a sequence listing in computer readable form and paper copy. Pursuant to 37 CFR §1.821(f), Applicant by his undersigned representative hereby states that the contents of the paper copy and the computer-readable copy of the Sequence Listing submitted herewith are the same.

Respectfully submitted,



Patricia Anne Perkins  
Registration No. 34,693

Immunex Corporation  
Law Department  
51 University Street  
Seattle, WA 98101  
Telephone (206) 587-0430

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Docket No.: 2976-C

John E. Sims

Art Unit: unknown

Serial No.: --to be assigned--

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EXPRESS MAIL LABEL NUMBER: EL867783955US

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

BOX SEQUENCE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that the enclosed correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date listed below, and is addressed to BOX SEQUENCE, Assistant Commissioner for Patents, Washington, D.C. 20231.

Postcard

Application Transmittal Cover Sheet (1 pg.)

Specification, including claims and abstract (60 pgs.)

Sequence Listing (8 pgs + disk copy.)

Sequence Statement (1 pg.)

Date: October 18, 2001 Signed: Camilla C. Edwards  
Camilla C. Edwards